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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Narumi OHKAWA

Group Art Unit: **2823**

Serial No.: **09/961,264**

Examiner: **MALDONADO, Julio J.**

Filed: **September 25, 2001**

P.T.O. Confirmation No.: **7825**

For: **SEMICONDUCTOR DEVICE HAVING BOTH MEMORY AND LOGIC
CIRCUIT AND ITS MANUFACTURE**

Attorney Docket No.: **990355A**

Customer Number: **38834**

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)(4)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

October 1, 2004

Sir:

Applicant directs the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO-1449. A copy of each listed documents were attached with the Request for Continued Examination (RCE) Transmittal filed on September 30, 2004.

The documents are cited in the Notice of Reasons of Rejection mailed September 7, 2004 in the counterpart Japanese patent application. A copy of the Notice of Reasons of Rejection with the English translation were also attached with the Request for Continued Examination (RCE) Transmittal.

No fee or certification is required in connection with this Information Disclosure Statement, because it is being submitted prior to the issuance of a first official action on the

merits following the Request for Continued Examination (RCE) in the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. Applicants respectfully request that the information be expressly considered during the prosecution of this application and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 50-2866 for any fee that is required to effect consideration of this statement.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

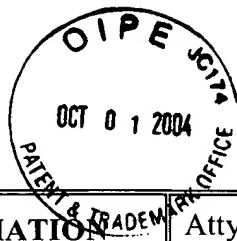


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Enclosures: Form PTO-1449

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**INFORMATION
DISCLOSURE
CITATION
PTO-1449**

Atty. Docket No. **990355A**

Serial No. **09/961,264**

Applicant(s): **Narumi OHKAWA**

Filing Date: **September 25, 2001**

Group Art Unit: **2823**

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
	AF	10-247725	09/1998	Japan	Abstract only
	AG	5-226593	09/1998	Japan	Abstract only
	AH	7-99236	04/1995	Japan	Abstract only
	AI	10-256511	09/1998	Japan	Abstract only
	AJ	7-297393	11/1995	Japan	Abstract only

OTHER DOCUMENTS

	AK	
	AL	
Examiner		Date Considered